

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: 040256-0129

In re patent application of

Naoki AYAI

Prior Serial No. 09/938,829

Group Art Unit: 2827

Prior Appl. Filing Date: 8/27/2001

Examiner: T. Dinh

For: OXIDE HIGH-TEMPERATURE SUPERCONDUCTING WIRE AND METHOD OF  
PRODUCING THE SAME

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.56

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith on Form SB08 is a listing of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 C.F.R. §1.56.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or is considered to be material to patentability as defined in 37 C.F.R. §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie prior art reference against the claims of the present application.

CONCISE EXPLANATION OF  
RELEVANCE OF EACH DOCUMENT

Applicant is submitting herewith on Form SB08, a listing of the documents cited by or submitted to the Patent Office in parent application Serial No. 09/938,829, filed August 27, 2001.

As provided in 37 C.F.R. §1.98(d), copies of the documents are not being provided since they were previously cited by or submitted to the Patent Office in parent application Serial No. 09/938,829 filed August 27, 2001.

The instant Information Disclosure Statement is being filed in compliance with 37 CFR §1.97(b) within three (3) months of the filing date of the above-identified application.

Applicant respectfully requests that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO SB08 be returned in accordance with M.P.E.P. §609.

Respectfully submitted,

Date December 31, 2003

By David A. Blumenthal

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Substitute for form 1449B/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  Date Submitted: December 31, 2003 <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	09/938,829
				Filing Date	08/27/2001
				First Named Inventor	Naoki AYA
				Group Art Unit	2827
				Examiner Name	T. Dinh
Sheet 1 of 2				Attorney Docket Number	040256-0129

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	A1	4,336,420		Benz, Hans	06-1982	
	A2	5,079,218		Tanaka et al.	01-1992	
	A3	5,364,709		Yu, Dingan	11-1994	
	A4	6,247,225		Snitchler et al.	06-2001	
	A5	6,397,454		Seuntjens et al.	06-2002	
	A6	5,017,553		Whitlow et al.	05-1991	
	A7	5,849,670		Nabatame et al.	12-1998	
	A8	5,908,812		Cotton et al.	06-1999	
	A9	6,344,430		Duperray et al.	02-2002	
	A10	4,929,596		Meyer et al.	05-1990	
	A11	5,283,232		Kohno et al.	02-1994	
	A12	5,424,282		Yamamoto et al.	06-1995	
	A13	6,311,385		Ueyama et al.	11-2001	
	A14	6,344,287		Celik et al.	02-2002	
	A15	6,357,105		Hikata et al.	03-2002	
	A16	5,639,714		Hikata et al.	06/17/97	
	A17	6,276,048		Hikata et al.	08/21/01	
	A18	6,311,835		Okuhara et al.	11/06/01	
	A19	6,110,873		Duperray et al.	08/29/00	
	A20	5,981,444		Sawada et al.	11/09/99	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	A21		03138820			06/13/91		Abs
	A22		11312420			11/09/99		Abs
	A23		11007846			01/12/99		Abs
	A24		01140520			06/01/89		Abs
	A25		96/28853			09/19/96		Abs
	A26		10050152			02/20/98		Abs
	A27		10247428			09/14/98		Abs
	A28		03015116			01/23/91		Abs
	A29		03015115			01/23/91		Abs
	A30		63239741			10/05/88		Abs

### NON PATENT LITERATURE DOCUMENTS

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO

**Date Submitted: December 31, 2003**

(use as many sheets as necessary)

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Sheet	2	of	2
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Application Number	09/938,829
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<b>Filing Date</b>	08/27/2001
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<b>First Named Inventor</b>	<b>Naoki AYAI</b>
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Group Art Unit	2827
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Examiner Name	T. Di
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Attorney Docket Number	040256
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Examiner  
Initials\*Cite  
No.<sup>1</sup>

Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.

 $T^6$ 

Examiner  
Signature

Date  
Considered

<sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

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